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PTO/SB/05 (03-01)

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. SEPR-P01-051

First Inventor Marc Currie

Title COMPOSITIONS AND METHODS FOR MANAGEMENT
OF SEROTONIN-MEDIATED DISORDERS

Express Mail Label No. el920 874 740us

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

FEES NOT PAID
AT THIS TIME

3. ☒ Specification [Total Pages 58]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]

5. Oath or Declaration [Total Pages 2]

- a. ☒ unexecuted
(original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure [Copies of IDS Citations]
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Express mail declaration

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Matthew P. Vincent

Registration No. (Attorney/Agent)

36,709

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

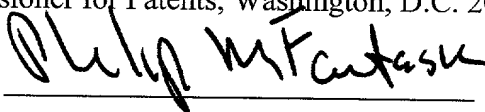
In re Application of: Marc Currie, Paul Rubin, :
Chris Senanayake, Thomas P. Jenussi :
: Docket No.: SEPR-P01-051
Appln. No. Not yet assigned :
Filing Date: March 1, 2002 :
For: COMPOSITIONS AND METHODS FOR
MANAGEMENT OF SEROTONIN-MEDIATED DISORDERS

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Certification of Express Mailing Under 37 CFR 1.10

I hereby certify that the enclosed patent application, consisting of:
Transmittal letter (1 p.); 48 pages of specification; 9 pages of claims; one page of abstract;
2 pages of unexecuted declaration/power of attorney; Certification of Express Mailing Under
37 CFR 1.10 (1 p.); and postpaid return postcard, are being deposited by me with the United
States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No.
EL920 874 740US, under 37 CFR 1.10 on the date indicated below and is addressed to BOX
PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

March 1, 2002


Printed name: Phil Fantasia